

*Sefer*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Mark E. Pennell, et al.

Examiner: not yet known

Serial No.: 09/993,888

Art Unit: 2152

Filed: November 27, 2001

Atty. Docket No.:  
10005.000110

Title: Method and Apparatus  
For Defeating A Mechanism  
That Blocks Windows

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RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of  
Nonprovisional Application mailed 12/06/2001 for the above-  
identified patent application, enclosed are the following:

- ☒ A copy of the Notice to File Missing Parts of  
Nonprovisional Application;
- ☒ Power of Attorney;
- ☒ An original, signed Declaration;
- ☒ Payment in the amount of \$603.00 for the filing  
and surcharge fees;
- ☒ Applicant claims small entity status under 37  
C.F.R. section 1.27.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: 1-16-02

Respectfully submitted,  
Mark E. Pennell

By: Patrick D. Benedicto  
Patrick D. Benedicto  
Attorney For Applicant(s)  
Reg. No. 40,909  
DEGUZMAN OKAMOTO & BENEDICTO LLP  
P.O. Box 51900  
Palo Alto, California 94303  
(650) 691-2030  
(650) 691-2032 (FAX)

Enclosure(s)

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Typed or Printed Name:	Patrick D. Benedicto	Dated:	Jan. 16, 2002
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**TRANSMITTAL  
FORM**

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Application Number 09/993,888

Filing Date November 27, 2001

First Named Inventor Mark-E. Pennell

Group Art Unit 2152

Examiner Name not yet known

Total Number of Pages in This Submission

15

Attorney Docket Number 10005.000110

**COPY OF PAPERS  
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of Nonprovisional Application☒ Response to Missing Parts/  
Incomplete Application☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☒ Power of Attorney☒ Signed Declaration☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)

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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual namePatrick D. Benedicto, Reg. No. 40,909  
DEGUZMAN OKAMOTO & BENEDICTO, LLP.

Signature

*Patrick Benedicto*

Date

January 16, 2002

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Typed or printed name

Patrick D. Benedicto

Signature

*Patrick Benedicto*

Date

Jan. 16, 2002

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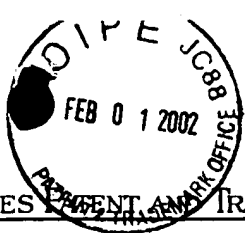
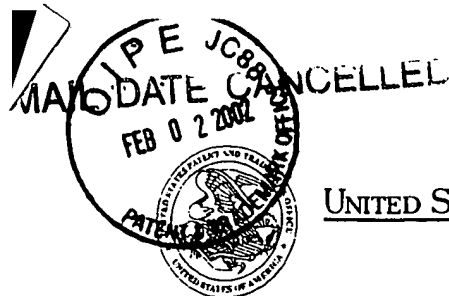
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,888	11/27/2001	Mark E. Pennell	10005.000110

CONFIRMATION NO. 7693

FORMALITIES LETTER



Patrick D. Benedicto  
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P.O. Box 51900  
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# 5

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$168.
  - \$126 for 14 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 603.

A copy of this notice **MUST** be returned with the reply.

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